

TEAP III TERSP Standard Assessment Management Process

Purpose: This document provides an overview of the different roles, responsibilities and steps involved in the TEAP III TERSP Standard Assessment management process.

Acronyms

CERCA – Canadian Emergency Response Contractors’ Alliance
CHLOREP – Chlorine Institute Emergency Plan (Canadian members only)
CFA – Canadian Fuels Association
CI – Chlorine Institute
CIAC – Chemistry Industry Association of Canada
CN – Canadian National railway
CP – Canadian Pacific railway
LSEP – Land Spill Emergency Preparedness
RAC – Railway Association of Canada
RDC – Responsible Distribution Canada
TEAP III – Transportation Emergency Assistance Program, Version Three
TEAP III Committee – management committee, operated under the auspices of the Chemistry Industry Association of Canada
TER – Transportation Emergency Response
TERSP – Transportation Emergency Response Service Provider

1. TEAP III Team, Identification of TERSP Locations

TEAP III Team Committee (CERCA, CHLOREP, CIAC, CN, CP, RAC and RDC) submits TERSP location information to the Manager, Transportation Safety and Security, CIAC, Ottawa.

- CERCA members in good standing will be assessed. If not already a member, the TERSP will be encouraged to join CERCA.
- In regions where there is a gap in coverage by CERCA members, non-CERCA members may be invited to undergo a TEAP III TERSP Standard Assessment.
- Each TERSP location must meet the requirements in Appendix A.

2. Manager, Transportation Safety and Security, CIAC, Ottawa is responsible to:

A) Notify TERSP Location Leader (i.e. management contact) of proposed assessment, and when necessary inform the individual that TEAP III TERSP Standard training is required.

- The TERSP Location Leader is the individual at the identified location who is responsible for compliance with the statements made in the submitted *TEAP III TERSP Standard Assessment* form.
- This individual must have participated in TEAP III TERSP Standard training (see Appendix C).

B) Send the *TEAP III TERSP Standard Assessment* form to TERSP Location Leader and request submission of the completed form within 21 days. The information package provides access to the:

- *TEAP III TERSP Standard Essential and Specialty Equipment List*
- *TEAP III TERSP Standard Training Matrix*
- *TEAP III TERSP Standard Assessment Legend*

C) Contact and confirm within two weeks of initial notice, the TERSP Location Leader’s intention to submit the form by the due date, or establish a mutually agreed alternative due date.

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- TERSP Location Leader may make a written request for an extension of the initial due date.

D) Review the submitted form.

- If the document is not submitted one week after the due date, notice is given that any further activity by TEAP III is suspended.
- If the document is not submitted two weeks after the due date, the TEAP III Team will be informed and this location will then be considered a low priority.
- Written requests for deferral may be requested for the Standard Assessment but must not exceed six months from the date of the initial request.
- If there is no response from the TERSP Location Leader by the final due date, an e-mail will be sent to the Editorial Board informing of the lack of response and the Editorial Board will provide direction.

E) Issue TERSP's submitted form and any supporting documents to Assessment Team Leader.

F) Notify the TERSP Location Leader and request the *TEAP III TERSP Standard Annual Update* be submitted within 21 days.

- Written requests for deferral may be requested for the Annual Update but must not exceed six months from the date of the initial request.
- If there is no response from the TERSP Location Leader by the due date or the adjusted due date, an e-mail will be sent establishing a final due date within 7 days from the date of the email.
- If there is no response from the TERSP Location Leader by the final due date, an e-mail will be sent to the Editorial Board informing of the lack of response and the Editorial Board will provide direction.

G) Review *TEAP III TERSP Standard Annual Update* submission and provide a copy to the Editorial Board.

- After Editorial Board acceptance of the submission, post it on the TEAP III website and issue it to the CACD rep, RAC rep, CERCA Chair and CERCA Communications Director.

3. The Assessment Team Leader:

The Assessment Team Leader is responsible to:

A) Coordinate the location assessment visit with the TERSP Location Leader and Assessment Team members (the visit will be a full day).

- If there is no response from the TERSP Location Leader within one week, an e-mail reminder will be sent.
- If there is no response from the TERSP Location Leader within two weeks, an e-mail will be sent to the Editorial Board informing of the lack of response and the Editorial Board will provide direction.

B) Identify the Assessment Team in coordination with the Manager, Transportation Safety and Security

- The Assessment Team consists of a Team Leader and at least one trained assessor up to a maximum of four persons including observers
- An Assessment Team Leader is a trained assessor appointed by the Editorial Board.
- A trained assessor is a person who has had TEAP III TERSP Standard Assessment training and participated in at least one location assessment and approved by the Editorial Board
- All assessors and observers must have attended the TEAP III TERSP Standard Assessment Training Program (Appendix C)

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C) Manage the assessment at the TERSP location and document all the Assessor Comments

D Review all findings with TERSP Location Leader prior to Assessment Team departure.

- This includes: opportunities for improvement, best practices for sharing, and gaps and/or deficiencies.

E) Request the TERSP Location Leader complete and submit the *TEAP III TERSP Standard Assessment Feedback* form.

F) Complete and issue the Draft Report of the TERSP Standard Assessment to the TERSP Location Leader, Assessment Team and CIAC Manager, Transportation Safety and Security within 14 days of the location visit.

- Items that require further investigation (e.g. incomplete data, lack of clarity, missing documentation, gaps and/or deficiencies) will be hi-lighted in yellow in the Draft Report.
- Within 30 days, or by a mutually agreed date not to exceed 14 additional days, the TERSP Location Leader must inform the Assessment Team Leader of corrections, updates or other items that required further investigation.
- If no response is received after 30 days, contact will be made with the TERSP Location Leader informing that the final due date is within 7 days.
- If there is no response from the TERSP Location Leader by the final due date, an e-mail will be sent to the Editorial Board informing of the lack of response and the Editorial Board will provide direction.
- When items that required further investigation are provided or corrected, the Draft Report of the TERSP Standard Assessment will be updated and become the Final Draft Report.

G) Issue Final Draft Report of the TERSP Standard Assessment to the Location Leader and the TEAP III Editorial Board within 7 days.

4. TEAP III Editorial Board

The TEAP III Editorial Board members are appointed by the TEAP III Team and currently consist of:

- TEAP III Committee Chair – Chair
- TEAP III Committee Vice-Chair- Vice-Chair
- CIAC: Manager, Transportation Safety and Security
- RDC: Designated representative to TEAP III
- RAC: Director, Dangerous Goods,
- ECRC (on behalf of LSEP, CFA): Response Centre Manager, Great Lakes Region, and
- An external consultant, as required.

The TEAP III Editorial Board will:

A) Identify and approve the Assessment Team Leaders

B) Approve the presentations and instructor for the TEAP III TERSP Standard Assessment training program

C) Review and edit, within 60 days, the Final Draft Report of the assessment for clarity and consistency and issue the Final Report.

- Arrange for Team Leader to follow-up with TERSP Location Leader as necessary.
- Recommend TERSP location for TEAP III registration.

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D) Recommend changes to the TEAP III TERSP Standard Assessment forms and the *TEAP III TERSP Standard Assessment Management Process*.

5. The Manager, Transportation Safety and Security, CIAC, on behalf of the TEAP III Editorial Board will:

A) Issue the Final Report of the assessment to the TERSP Location Leader for approval within 14 days.

- If after the due date the TERSP Location Leader has not approved the Final Report, there will be notification to set a final due date within seven days.
- If the TERSP Location Leader has not approved the Final Report, with acknowledgement of the Editorial Board all relevant records will be archived in CIAC files. The TEAP III Committee will be notified.

B) Release the TERSP approved Final Report of the assessment to TEAP III Editorial Board, CACD rep, RAC rep, CERCA Chair and CERCA Communications Director within 14 days.

C) Post the TERSP approved Final Report or Annual Update on the TEAP III website (see Appendix B).

D) Issue the TEAP III TERSP Standard Assessment certificate.

6. Dispute Resolution

The Dispute Resolution Committee consists of at least two Editorial Board members plus CIAC staff that:

- did not participate in a location's assessment,
- does not have a business relationship with that company, or
- a conflict or potential / perceived conflict of interest

If the TERSP Location Leader is in disagreement with the Draft or Final Report the TERSP Location Leader must provide in writing to the Manager, Transportation Safety and Security, CIAC the issue under dispute and the reasons why there is an objection. The Manager will be responsible for distribution of the objection to the Dispute Resolution Committee and Editorial Board.

The Committee will make a decision within 15 working days of receiving the written objection.

If the Committee upholds the Report and the TERSP Location Leader does not approve of that report, all relevant records will be archived in CIAC files. The TEAP III Editorial Board, RDC rep, RAC rep and CERCA Chair will be notified.

If the Committee upholds the TERSP Location Leader's objection, the Editorial Board will make the necessary modifications and re-seek TERSP Location Leader's approval.

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APPENDIX A

Minimum Requirements for Assessment and Registration of TERSP Location

Basic Requirements before Consideration

- Liability, property damage and/or environmental insurance (as defined in the TEAP III TERSP Standard Assessment document)
- Manned 24-hour activation number
- Canadian legal operations address
- Letter of clearance from WCB, WSIB, CCST or similar
- Two trained Team Leaders and two trained team members at that location, and
- TERSP Location Leader has taken TEAP III TERSP Standard training
- Must be able to demonstrate transportation emergency response services with appropriate documentation for greater than 12 months

Basic Requirements before Location Visit

- Two events must be listed on the Response and Exercise Table
 - Exercises must be hands-on with the TERSP location's equipment, and
 - Demonstrations or classroom or table-top only sessions do not qualify

Editorial Board Approval of Final Draft Report

If any of the following deficiencies or non-compliances are not corrected, approval will be withheld

- Does not meet the minimum Team Leader and/or team member number requirement
- Does not meet the *TEAP III TERSP Standard Essential and Specialty Equipment List*
- Does not meet regulatory requirements or manufacturer's recommendations, specifically for:
 - hose testing, CSA B620
 - Canadian TDG Certification
 - motor vehicle inspection and safety
 - testing of SCBA cylinders – CSA Z94.4-02
 - recommended testing of Level A suits (if applicable), and
 - chlorine capping kit gasket expiry dates (if applicable)

Re-assessment

Locations that have not completed the *TEAP III TERSP Standard Annual Update* will not be re-assessed.

Location Leader must have received *TEAP III Transportation Emergency Response Service Provider Standard* training 12-months prior to the next scheduled assessment.

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APPENDIX B

Requesting Changes to TEAP III Website, TEAP III TERSP Standard Assessment and Annual Update

Name change for the website link (cover/status page) will be at the TERSP's request.

No changes to posted TEAP III TERSP Standard Assessments will be permitted.

The annual update can be amended but must be at the TERSP's request. The submitted annual update will be issued to the Location Leader with instructions on what is permitted. No follow-up will be done.

The location is allowed to amend their annual update only once before their next assessment.

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APPENDIX C

TEAP III TERSP Standard Assessment Training Program

Overview

Developed, maintained and delivered as a training program by the TEAP III Editorial Board under the direction of the TEAP III Committee, CIAC. The Editorial Board must approve the training program content and instructor(s). Content: TEAP III Transportation Emergency Response Service Provider Standard, TEAP III TERSP Standard Assessment and TEAP III TERSP Standard Assessment Management Process documentation.

When: At least one session will be offered annually.

Length: 2.5 hours

Delivery medium: Internet webinar

Minimum number of participants: Four

Maximum number of participants: Ten

Who must attend? (TERSP Personnel and Assessors)

- TERSP Location Leaders are required to be trained within 12 months prior to their location assessment
- Any other person at the TERSP location that will be participating in the assessment or completing the *TEAP III TERSP Standard Annual Update*
- Assessment Team Leaders, members and observers must be trained within 18 months prior to attending a location assessment, unless the person has participated in an assessment within that period.

Who else is invited attend?

- TEAP III Committee and CERCA Committee members who are not associated with a TERSP location
- Transport Canada RMS and TDG Inspectors who want to participate in a TEAP III TERSP Standard Assessment
- Individuals from member companies of CIAC, RDC and RAC if requested

Invoicing

The CIAC will manage invoicing for the TEAP III Committee.

Fee Structure

Free for:

- TEAP III Committee members
- Assessment Team Leaders and team members
- Transport Canada RMSs or TDG Inspectors

Cost for:

- First participant from the TERSP location: \$150 plus tax
- Each additional participant from the same TERSP location: \$75 plus tax

NOTE: Fee may be waived at the discretion of the Chair, Editorial Board

Records

The Manager, Transportation Safety and Security, CIAC will maintain lists of participants from approved training sessions.

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APPENDIX D

TERSP Location with Newly Hired Experienced Responders

Background

The contract emergency response industry historically has had significant changes in personnel. That is, individuals (TEAP III registered Team Leader or team member) may change employers. Previous employer reference through TEAP III records will be reviewed.

TEAP III Standard Assessment form has not yet specifically addressed the above issue of handling the potential impact on the location information at the time of assessment. The two areas that have the greatest impact are training records and *Response and Exercise Table*.

Both of these issues are short-term (less than 12 months). Therefore, the start date of the “new” employees must be evident during the assessment.

Training

If the individual was previously a “registered” TEAP III responder within the last 24 months, then accept and only search for training records for modules that should have been completed with the new employer (e.g. TDG, company media policy, new specialty products).

Response and Exercise Table

The instruction for completing the table does not specifically state that the information is for this TERSP location only. If a registered TEAP III Team Leader is listed for a response that was performed while in the employment of another company, the Assessment Team should note the following in the Comment column: “Event report is not available because the response / exercise was conducted while with previous employer.”